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SEP 17 2001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Patent Application of

Duncan Newman et al.

Application No. 09/811,260

Filed: March 16, 2001

For: APPARATUS AND PROCESS FOR  
CONDITIONING ORGANIC FLUID

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) Group Art Unit: 1724  
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) Examiner: Unassigned  
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**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D C. 20231

Sir:

SEP 28 2001

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

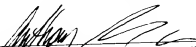
- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ [ ] A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: September 27, 2001

By:   
Anthony J. Josephson  
Registration No. 45,742

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of SEP 27 2001 )  
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Duncan Newman et al. )  
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Application No.: 09/811,260 ) Group Art Unit: 1724  
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CONDITIONING ORGANIC FLUID )  
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 5,466,229;

U.S. Patent No. 3,946,731;

U.S. Patent No. 5,037,390;

DE Patentschrift No. 4323295;

DE Offenlegungsschrift No. 3511159;

U.S. Patent No. 4,968,483;

U.S. Patent No. 5,540,898; and

Copy of International Search Report completed November 28, 2000.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Copies of the listed documents were previously submitted in prior applications Serial No. 09/662,706, filed September 15, 2000, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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